



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

AUS GLOBAL FINANCIAL (AU) PTY. LTD.

AFS Licence Number 427848

Extracted from ASIC's database at AEST 21:41:43 on 08/01/2024

Current Details	
Name:	AUS GLOBAL FINANCIAL (AU) PTY. LTD.
Licence Number:	427848
Status:	Current
ABN:	21 149 088 988
Commenced:	12/10/2012
Addresses	
Principal Business Address:	'Level7', 440 Elizabeth Street, MELBOURNE VIC 3000
Service Address:	'Level7', 440 Elizabeth Street, MELBOURNE VIC 3000
Roles	
Role:	Auditor of FS Licensee
Name:	DAVID WILLIAM MCBAIN
Commenced:	29/10/2012
Licence Authorisation Conditions	
From:	12/10/2012
Details:	<ol style="list-style-type: none"> 1. This licence authorises the licensee to carry on a financial services business to: <ol style="list-style-type: none"> (a) provide financial product advice for the following classes of financial products: <ol style="list-style-type: none"> (i) deposit and payment products limited to: <ol style="list-style-type: none"> (A) basic deposit products; (B) deposit products other than basic deposit products; (ii) derivatives; (iii) foreign exchange contracts; (iv) debentures, stocks or bonds issued or proposed to be issued by a government; (v) life products limited to: <ol style="list-style-type: none"> (A) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds; (vi) interests in managed investment schemes excluding investor directed portfolio services; (vii) securities; and (viii) superannuation; and (b) deal in a financial product by: <ol style="list-style-type: none"> (i) arranging for another person to apply for, acquire, vary or dispose of financial products in respect of the following classes of financial products: <ol style="list-style-type: none"> (A) deposit and payment products limited to: <ol style="list-style-type: none"> (1) basic deposit products; (2) deposit products other than basic deposit



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Licence Authorisation Conditions

- products;
 - (B) derivatives;
 - (C) foreign exchange contracts;
 - (D) debentures, stocks or bonds issued or proposed to be issued by a government;
 - (E) life products limited to:
 - (1) investment life insurance products as well as any products issued by a Registered Life Insurance Company that are backed by one or more of its statutory funds;
 - (F) interests in managed investment schemes excluding investor directed portfolio services;
 - (G) securities; and
 - (H) superannuation;
- to wholesale clients.

Further information relating to this Licensee may be purchased from ASIC.