



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

DOO FINANCIAL AUSTRALIA LIMITED
AFS Licence Number 222650

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Table with sections: Current Details, Addresses, Roles, External Dispute Resolution, Licence Authorisation Conditions. Contains fields like Name, Licence Number, Status, ABN, Commenced, Principal Business Address, Service Address, Role, Name, Commenced, Scheme, Membership Number, and detailed licence conditions.



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- (1) basic deposit products;
- (B) derivatives;
- (C) general insurance products;
- (D) debentures, stocks or bonds issued or proposed to be issued by a government;
- (E) interests in managed investment schemes excluding investor directed portfolio services; and
- (F) securities;
- (c) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) schemes which only hold the following types of property:
 - (A) direct real property;
 - (B) financial assets; and
 - (C) mortgages; and
 - (d) provide the following custodial or depository services:
 - (i) operate custodial or depository services other than investor directed portfolio services; to retail and wholesale clients.

From: 11/10/2016

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) interests in managed investment schemes excluding investor directed portfolio services; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) general insurance products;
 - (C) interests in managed investment schemes excluding investor directed portfolio services; and
 - (D) securities;



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- (b) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) schemes which only hold the following types of property:
 - (A) direct real property;
 - (B) financial assets; and
 - (C) mortgages; and
- (c) provide the following custodial or depository services:
 - (i) operate custodial or depository services other than investor directed portfolio services; to retail and wholesale clients.

From: 28/03/2011

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) general insurance products;
 - (C) interests in managed investment schemes excluding investor directed portfolio services; and
 - (D) securities; and
 - (b) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) schemes which only hold the following types of property:
 - (A) direct real property;
 - (B) financial assets; and
 - (C) mortgages;



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to retail and wholesale clients.

From: 12/08/2009

- Details: 1. This licence authorises the licensee to carry on a financial services business to:
- (a) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) general insurance products;
 - (C) interests in managed investment schemes excluding investor directed portfolio services; and
 - (D) securities; and
 - (b) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) schemes which only hold the following types of property:
 - (A) direct real property;
 - (B) financial assets; and
 - (C) mortgages;
- to retail and wholesale clients.

From: 27/06/2008

- Details: 1. This licence authorises the licensee to carry on a financial services business to:
- (a) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another



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person in respect of the following classes of products:

- (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) general insurance products;
 - (C) interests in managed investment schemes excluding investor directed portfolio services; and
 - (D) securities; and
- (b) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
- (i) "Kinsmen Mezzanine Fund No.4" scheme (ARSN: 108 192 490),
 - (A) a scheme which only holds the following types of property:
 - (1) mortgages;
 - (ii) "Kinsmen Adelaide Commercial Syndicate" scheme (ARSN: 106 977 020),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property limited to:
 - (a) stable property trusts or syndicates;
 - (iii) "Kinsmen Direct Property Fund" scheme (ARSN: 111 303 943),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;
 - (iv) "Kinsmen Diversified Property Fund" scheme (ARSN: 111 285 940),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (v) "Kinsmen Development Fund" scheme (ARSN: 114 120 722),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property; and
 - (2) financial assets;
 - (vi) "Kinsmen Mezzanine Fund" scheme (ARSN: 114 120 599),
 - (A) a scheme which only holds the following types of property:



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- (1) mortgages; and
 - (vii) "Kinsmen Retirement Fund No. 1" scheme (ARSN: 128 290 139),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;
- to retail and wholesale clients.

From: 13/11/2007

Details: 1. This licence authorises the licensee to carry on a financial services business to:

- (a) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) general insurance products;
 - (C) interests in managed investment schemes excluding investor directed portfolio services; and
 - (D) securities; and
 - (b) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) "Kinsmen Mezzanine Fund No.4" scheme (ARSN: 108 192 490),
 - (A) a scheme which only holds the following types of property:
 - (1) mortgages;
 - (ii) "Kinsmen Adelaide Commercial Syndicate" scheme (ARSN: 106 977 020),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property limited to:
 - (a) stable property trusts or syndicates;
 - (iii) "Kinsmen Direct Property Fund" scheme (ARSN:



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- 111 303 943),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;
 - (iv) "Kinsmen Diversified Property Fund" scheme (ARSN: 111 285 940),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (v) "Kinsmen Development Fund" scheme (ARSN: 114 120 722),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property; and
 - (2) financial assets;
 - (vi) "Kinsmen Mezzanine Fund" scheme (ARSN: 114 120 599),
 - (A) a scheme which only holds the following types of property:
 - (1) mortgages; and
 - (vii) "Kinsmen Retirement Fund No. 1" scheme (ARSN: 128 290 139),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;
- to retail and wholesale clients.

From: 21/02/2007

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) general insurance products;
 - (C) interests in managed investment schemes



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- excluding investor directed portfolio services; and
- (D) securities; and
- (b) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
- (i) "Kinsmen Mezzanine Fund No.4" scheme (ARSN: 108 192 490),
- (A) a scheme which only holds the following types of property:
- (1) mortgages;
- (ii) "Kinsmen Adelaide Commercial Syndicate" scheme (ARSN: 106 977 020),
- (A) a scheme which only holds the following types of property:
- (1) direct real property limited to:
- (a) stable property trusts or syndicates;
- (iii) "Kinsmen Mezzanine Fund No.3" scheme (ARSN: xxx xxx xxx),
- (A) a scheme which only holds the following types of property:
- (1) mortgages;
- (iv) "Kinsmen Direct Property Fund" scheme (ARSN: 111 303 943),
- (A) a scheme which only holds the following types of property:
- (1) direct real property;
- (v) "Kinsmen Diversified Property Fund" scheme (ARSN: 111 285 940),
- (A) a scheme which only holds the following types of property:
- (1) financial assets;
- (vi) "Kinsmen Development Fund" scheme (ARSN: 114 120 722),
- (A) a scheme which only holds the following types of property:
- (1) direct real property; and
- (2) financial assets; and
- (vii) "Kinsmen Mezzanine Fund" scheme (ARSN: 114 120 599),
- (A) a scheme which only holds the following types of property:
- (1) mortgages;



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to retail and wholesale clients.

From: 18/05/2005

- Details: 1. This licence authorises the licensee to carry on a financial services business to:
- (a) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) general insurance products;
 - (C) interests in managed investment schemes excluding investor directed portfolio services; and
 - (D) securities; and
 - (b) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) "Kinsmen Mezzanine Fund No.4" scheme (ARSN: 108 192 490),
 - (A) a scheme which only holds the following types of property:
 - (1) mortgages;
 - (ii) "Kinsmen Adelaide Commercial Syndicate" scheme (ARSN: 106 977 020),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property limited to:
 - (a) stable property trusts or syndicates;
 - (iii) "Kinsmen Mezzanine Fund No.3" scheme (ARSN: 102 435 423),
 - (A) a scheme which only holds the following types of property:
 - (1) mortgages;
 - (iv) "Kinsmen Direct Property Fund" scheme (ARSN: 111 303 943),



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- (A) a scheme which only holds the following types of property:
 - (1) direct real property;
- (v) "Kinsmen Diversified Property Fund" scheme (ARSN: 111 285 940),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (vi) "Kinsmen Development Fund" scheme (ARSN: 114 120 722),
- (A) a scheme which only holds the following types of property:
 - (1) direct real property; and
 - (2) financial assets; and
- (vii) "Kinsmen Mezzanine Fund" scheme (ARSN: 114 120 599),
- (A) a scheme which only holds the following types of property:
 - (1) mortgages;
 to retail and wholesale clients.

- From: 19/10/2004
- Details: 1. This licence authorises the licensee to carry on a financial services business to:
- (a) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) general insurance products;
 - (C) interests in managed investment schemes excluding investor directed portfolio services; and
 - (D) securities; and
 - (b) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as



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responsible entity:

(i) "Kinsmen Mezzanine Fund No.4" scheme (ARSN: 108 192 490),

(A) a scheme which only holds the following types of property:

(1) financial assets;

(ii) "Kinsmen Adelaide Commercial Syndicate" scheme (ARSN: 106 977 020),

(A) a scheme which only holds the following types of property:

(1) direct real property limited to:

(a) stable property trusts or syndicates;

(iii) "Kinsmen Mezzanine Fund No.3" scheme (ARSN: 102 435 423),

(A) a scheme which only holds the following types of property:

(1) financial assets;

(iv) "Kinsmen Direct Property Fund" scheme (ARSN: 111 303 943),

(A) a scheme which only holds the following types of property:

(1) direct real property; and

(v) "Kinsmen Diversified Property Fund" scheme (ARSN: 111 285 940),

(A) a scheme which only holds the following types of property:

(1) financial assets;

to retail and wholesale clients.

From: 15/03/2004

Details: 1. This licence authorises the licensee to carry on a financial services business to:

(a) deal in a financial product by:

(i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:

(A) interests in managed investment schemes limited to:

(1) own managed investment scheme only; and

(ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:

(A) deposit and payment products limited to:

(1) basic deposit products;



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- (B) general insurance products; and
 - (C) securities; and
 - (b) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) "Kinsmen Mezzanine Fund No.3" scheme (ARSN: 102 435 423),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (ii) "Kinsmen Adelaide Commercial Syndicate" scheme (ARSN: 106 977 020),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property limited to:
 - (a) stable property trusts or syndicates; and
 - (iii) "Kinsmen Mezzanine Fund No. 4" scheme (ARSN: 108 192 490),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- to retail and wholesale clients.

Further information relating to this Licensee may be purchased from ASIC.